



1 internalization or by preventing their recycling [19, 20],  
2 we added unstimulated autologous PBMC as a source of  
3 naïve T cells expressing the  $\alpha\beta$  TCR.

4 Here, we show that MHC-restricted efficient cancer  
5 cell lysis by cascade-primed (CAPRI) cells results from  
6 the cooperation of a cellular quartet consisting of T  
7 helper cells, T cytotoxic cells, dendritic cells and mono-  
8 cytes that upregulate and induce MHC class I and class  
9 II expression in cancer cells.

10 Finally, we provide preclinical and circumstantial clinical  
11 evidence for the CAPRI concept by showing efficient  
12 and significant lysis of cancer cells in nude mice and in  
13 patients with different cancers in an adjuvant treatment  
14 attempt.

## 15 Materials and methods

16 *Tumour samples and establishment of autologous tumour cell*  
17 *lines.* Immune cells and autologous tumour samples were  
18 donated by informed and consenting patients referred by  
19 doctors for the support of radiation or chemotherapy with  
20 adjuvant adoptive immunotherapy (ACT). The tumour  
21 samples were used to establish cancer cell lines to provide a  
22 control for analysing the lytic capacity of activated  
23 immune cells. The ethics recommendations of Helsinki  
24 with subsequent amendments of Tokyo 1975, Hong Kong  
25 1989 and Somerset West 1996 were followed.

26 Tumour samples were minced to small pieces and cultured  
27 in 50-ml flasks using supplemented tumour culture  
28 medium (RPMI 1640 with L-glutamine, supplemented  
29 with 10% FCS, NEAA, G5 Supplement; all from PAA,  
30 Coelbe, Germany) and optimized culture conditions.  
31 Unused tumour samples were also minced to small pieces  
32 and cryopreserved in DMSO, like PBMC [21]. The estab-  
33 lishment of cell lines that divided at least 20 times was  
34 successful only with samples from patients who had not  
35 yet received chemotherapy or radiation therapy. All cell  
36 lines originated from Caucasian patients.

37 *Isolation of immune cells.* PBMC were isolated from  
38 venous blood puncture or leukapheresis samples by den-  
39 sity gradient centrifugation as described previously [21]  
40 using lymphocyte separation medium (LSM; PAA).  
41 Immune cells were either used immediately or cryopre-  
42 served and stored in the nitrogen gas phase. Isolation,  
43 cryopreservation and thawing procedures as well as the  
44 use of optimized culture conditions (38.5 °C, 6.5% CO<sub>2</sub>)  
45 have been described in detail [21].

46 *Activation of T cells in PBMC bulk cultures: CD3 activa-*  
47 *tion and CAPRI cell generation.* Both methods started  
48 with the activation of T cells in PBMC bulk cultures  
49 using the CD3 monoclonal antibody OKT3 (Orthoclone;  
50 Cilag, Sulzbach/Taunus, Germany), which binds to the  
51 non-polymorphic  $\epsilon$ -chain of the CD3 molecule, and the  
52 addition of interleukin 2 (IL-2; Proleukin; Chiron, Ratin-  
53 gen, Germany).

CD3 antibodies were immobilized at a concentration  
of 1  $\mu\text{g/ml}$  in 0.05 M borate buffer pH 8.6 and distrib-  
uted in 50-ml tissue culture flasks (Greiner Bio-One,  
Frickenhausen, Germany). Coated flasks were kept at  
4 °C at least overnight and washed twice with phos-  
phate-buffered saline prior to incubation with PBMC.  
PBMC were added at a concentration of  $2 \times 10^6$  cells/ml  
in a total volume of 10 ml, and IL-2 was added within  
2–12 h at a concentration of 20 U/ml. CD3-activated  
cells were expanded on day 4 with IL-2 (20 U/ml) and  
harvested on day 7 for immediate use or cryopreservation.

For the generation of CAPRI cells, CD3-activated  
PBMC were removed from the flask after 4–6 h, washed  
and then cocultured in a second CD3 'antibody-free' flask  
with an equal number of unstimulated autologous  
PBMC, which contained naïve/resting T cells, at a con-  
centration of  $2 \times 10^6$ /ml in a total volume of 10 ml.  
Cells were expanded on day 1 with IL-2 (20 U/ml) and  
harvested on day 4.

*Microscopic classification, preparation of tumour target cells*  
*and quantification of cancer cell destruction using the Cr<sup>51</sup>-*  
*release assay.* Cancer cells were removed from flasks by  
trypsinization, resuspended in culture medium (RPMI  
1640 with L-glutamine; PAA) supplemented with 10%  
FCS and washed twice. Cancer cells were counted and  
distributed in different concentrations into 96-well flat-  
bottom culture plates (Falcon; Becton Dickinson, Heidel-  
berg, Germany) either for microscopic evaluation of lysis  
or for the Cr<sup>51</sup>-release assay. Before the addition of effec-  
tor cells, cancer cells were allowed to recover overnight  
to restore their membrane integrity after trypsinization.  
Reorganization of cancer cells into a coherent cell layer  
better reflected the situation *in vivo* and significantly  
lowered the rate of spontaneous Cr<sup>51</sup> release, an as yet  
unresolved problem of the Cr<sup>51</sup>-release assay for measur-  
ing tumour cell destruction.

The Cr<sup>51</sup>-release assay was performed in duplicate at  
varying effector to target ratios using  $2 \times 10^3$  target can-  
cer cells. Maximum Cr<sup>51</sup> release was determined using  
labelled target cells, and spontaneous release was dis-  
cerned by incubating target cells in medium alone. The  
per cent of spontaneous release was calculated as follows:  
(spontaneous cpm:maximum cpm)  $\times$  100; the per cent of  
cytotoxicity was calculated as follows: [(experimental  
cpm – spontaneous cpm):(maximum cpm – spontaneous  
cpm)]  $\times$  100. Quantitative lysis of cancer cells using the  
Cr<sup>51</sup>-release test was assessed after 5–6 h and after  
18–22 h by following the 'classical' guidelines for the  
cell-mediated lympholysis (CML) assay [22] with the  
crucial difference in tumour target preparation described  
earlier. Representation of data followed classical CML  
papers [23–27].

The degree of lysis was estimated by microscopic  
inspection after 18–24 h. The scale used corresponded to  
conventional HLA microscopic estimated evaluation. This

scale designates a lysis of more than 80% as strong positive (++) , 60–79% as positive, 40–59% as weak positive, 20–39% (+) as doubtful positive and <20% (–) as negative (Fig. 2).

Microscope used: Fluovert FS, ocular: Periplan GF 12.5×/20, objective: 170/- 10/0.25 PHACO 1 (Leitz, Wetzlar, Germany). Photosystem MPS 48 (Leitz). Films used: Kodak Ektachrome 64 T professional.

Imaging medium: RPMI 1640 with L-glutamine (PAA) supplemented with 10% FCS; pictures were taken at room temperature.

Figures were prepared with Microsoft® Powerpoint® 2000 (9.0.2716) and corel PHOTO-PAINT, version 5 12.0.0.536.

To determine the influence of HLA class I and class II molecules on cancer lysis, monoclonal antibodies were added at the start of the CAPRI cell/cancer cell cocultures. The antibody W6/32 (1 µg/ml) (Abcam, Cambridge, UK) was used to block HLA class I, and L243 (1 µg/ml) (Abcam) was used to block HLA class II.

*Depletion of CD3, CD4, CD8 and CD14 positive subpopulations from PBMC with magnetic beads.* Mouse anti-human CD3, CD4, CD8 and CD14 conjugated to magnetic beads; CD14 negative isolation kits and Pan Mouse IgG beads were obtained from DYNAL (Invitrogen, Paisley, UK) and used according to manufacturer's instructions.

The manufacturer's depletion protocol was repeated three times for a depletion efficiency >98%.

CD4<sup>+</sup> T cells were isolated from CD3-isolated populations to spare CD14<sup>+</sup>CD4<sup>+</sup> monocytes.

*Tracing of monocytes during CD3 and CAPRI stimulation.* About 40 × 10<sup>6</sup> PBMC were obtained from each donor; 20 × 10<sup>6</sup> PBMC were treated with Dynabeads® Untouched™ human monocytes kit (Invitrogen) to obtain CD14<sup>+</sup> monocytes, according to manufacturer's instructions.

Purified CD14<sup>+</sup> monocytes were labelled with CFSE (eBioscience, San Diego, CA, USA) according to manufacturer's instructions. About 20 × 10<sup>6</sup> PBMC were depleted of CD14<sup>+</sup> monocytes by Dynabeads® CD14 (Invitrogen) according to manufacturer's instructions. CFSE-labelled CD14<sup>+</sup> monocytes were added to the CD14-depleted PBMC to reconstitute a PBMC population with CFSE-labelled CD14<sup>+</sup> monocytes. The reconstituted PBMC were stained with anti-CD14 PE, HLA-DR-PE, CD1a-PECy5.5, with anti-CD40-PECy5.5, CD80-PECy5.5, CD83-PECy5, CD86-PECy5.5 and with anti-HLA-A,B,C-PECy5.5 (eBioscience) for tracing the phenotype of CFSE-labelled CD14<sup>+</sup> cells during CD3 stimulation or during the CAPRI procedure.

*Flow cytometry.* Expression of cell surface markers was determined by flow cytometry using the Becton-Dickinson FACScan analyzer and CELLQUEST software (Becton-Dickinson). CD14<sup>+</sup> cells were CFSE-labelled to trace the changes in phenotype. In brief, cells were harvested and

stained with anti-CD14 PE, HLA-DR-PE, CD1a-PECy5.5, with anti-CD40-PECy5.5, CD80-PECy5.5, CD83-PECy5, CD86-PECy5.5 and with anti-HLA-A,B,C-PECy5.5 to trace the phenotype of CFSE-labelled CD14 cells during CD3 or CAPRI stimulation. For the analyses of cell surface markers on CD3-stimulated and CAPRI cells, cells were collected and stained with anti-CD3-FITC, CD14-PE, CD19-PECy5.5, with anti-CD3-FITC, CD4-PE, CD8-PECy5.5, with anti-CD3-FITC, CD14-PE, CD56-PECy5.5 and with anti-CD3-FITC, CD16-PE, CD56-PECy5.5. For Foxp3 staining, cells were stained first with anti-CD4-PE, fixed, permeabilized with human Foxp3 staining buffer set and then stained with FITC-anti-human Foxp3.

The conjugated mouse monoclonal antibodies were obtained from BD Biosciences or eBioscience. The human Foxp3 staining buffer set was obtained from eBioscience.

*Presence of CD4<sup>+</sup> T lymphocytes could not be replaced in the priming phase or in the cytotoxicity assay by supernatants from CAPRI cell cultures.* CAPRI culture supernatants were added to CAPRI cell cultures to clarify whether CD4<sup>+</sup> T lymphocytes provided only 'cytokine help' to cytotoxic CD8<sup>+</sup> T cells or participated as effector cells in cancer cell destruction. To avoid the depletion of CD14<sup>+</sup>CD4<sup>+</sup> monocytes, CD3<sup>+</sup> cells were first isolated from PBMC cultures (1), and then CD4<sup>+</sup> cells were depleted. The CD4<sup>+</sup>-depleted CD3 isolate was added to (1).

Supernatants were added before CD3 activation or to unstimulated PBMC, which were added in the second step to supply T cells expressing the αβTCR.

*Cytotoxicity testing of human CAPRI cells against autologous breast cancer cells in nude mice.* Animal experiments were authorized by the ethic committee of the University of Wuhan, China, and designed by S. Gu and performed at the Wuhan University under the supervision of S. Gu.

Twelve 6-week-old nude female mice (BALB/c-nu) were obtained from Wuhan University, Center for Animal Experiments, China. Cells from the breast cancer cell line ZB (raised 10 years ago from one of the patients in the Institute of Immunology of the University of Munich and in culture since then) were washed in PBS and resuspended in PBS at a concentration of 1 × 10<sup>6</sup>/ml. Mice were injected subcutaneously with 1 × 10<sup>5</sup> breast cancer cells in 0.1 ml of PBS. Mice of the control group (*n* = 6) were injected with 1 × 10<sup>6</sup> autologous PBMC, and verum group mice (*n* = 6) were injected with 1 × 10<sup>6</sup> autologous CAPRI cells every second day until day 15. PBMC and CAPRI cells were introduced surrounding the injected tumour locations. Mice were observed for 45 days after cancer cell injection. Tumour size was measured for the first time after 21 days. Mice were killed if the maximum tumour diameter was >15 mm unless the tumour killed the mouse before that point. After 45 days, the experiment was completed, and all mice were killed.

1 Pictures were taken with a Konica Minolta Dimage  
2 **7** Z3 camera, and figures were prepared with corel  
3 PHOTO-PAINT, version 12.0.0.536., and Adobe Illus-  
4 trator CS5, version 3.0.0.400.

5 *Patient panel, CAPRI cell dose and treatment*  
6 *schedule.* All steps of the production of autologous acti-  
7 vated immune cells including the final therapy (treat-  
8 ment attempts) were controlled by the medical doctor  
9 (RW) himself. In Germany, medical doctors are allowed  
10 to perform such treatment attempts on their own authori-  
11 ty. The preparation of CAPRI cells as well as the treat-  
12 ment was performed at the Institute of Immunology of  
13 the Ludwig-Maximilians-Universitaet (LMU), München.

14 The patients' survival data from the Munich Tumor  
15 Center were collected from several hospitals, from gynae-  
16 cologists and from surgeons, independently from the type  
17 of treatment, the type of chemotherapy or radiation ther-  
18 apy. In essence, the data from the Munich Tumor Center  
19 are a summary of individual case reports like those from  
20 patients treated with CAPRI cells.

21 Each breast cancer patient (T1-4N0-2, G2-3) with  
22 diagnosed metastasis (M1,  $N = 42$ ) who had received at  
23 least  $500 \times 10^6$  CAPRI cells (although higher cell  
24 amounts were recommended and often received) was  
25 included in the analysis and compared to breast cancer  
26 patients with the same tumour staging (T1-4N0-2M1,  
27 G2-3) of the Munich Tumor Center ( $N = 428$ ). Inclusion  
28 for treatment was independent of the type of chemother-  
29 apy, radiation and/or other therapies. The recommended  
30 treatment schedule included three injections of  $60$ –  
31  $80 \times 10^6$  CAPRI cells per week for 6 months, which was  
32 followed by two injections per week for another  
33 6 months. ACT with CAPRI cells has continued for most  
34 of the patients once a week for several years. One-third of  
35 CAPRI cells were injected i.v., and two-thirds were given  
36 i.m. into the forearm in a 1 ml volume of PBS.

37 *Statistical analysis.* The slope and y intercept of the  
38 regression lines obtained from CML titrations were evalu-  
39 ated using the general linear model (GLM) procedure.  
40 The statistical package SPSS 10.1 (SPSS Inc., Chicago, IL,  
41 USA) was used.

## 42 Results

### 43 Cancer cell lysis by CAPRI cells within 24 h

44 We stimulated APC in PBMC bulk cultures by activat-  
45 ing T cells with the OKT3 antibody, which binds to a  
46 conformational epitope expressed by CD3- $\epsilon\delta$  or CD3- $\epsilon\gamma$   
47 chains [29], and added unstimulated PBMC to CD3-acti-  
48 vated PBMC as a source of T cells expressing the  
49  $\alpha\beta$ TCR. Microscopic inspection indicated little or no  
50 reduction in cancer cell numbers after 24 h of coculture  
51 with CD3-activated PBMC (Fig. 1A) compared with  
52 carcinoma cultures at time zero (Fig. 1A, B), but most

cancer cells were lysed after being cocultured with  
CAPRI cells (Fig. 1F). In chromium<sup>51</sup>-release assays,  
CD3-activated PBMC showed no significant lytic activity  
(Fig. 1G), while CAPRI cells lysed 27.1% of cancer cells  
at a 5:1 effector to target (E:T) ratio and 89.9% of cancer  
cells at a E:T ratio of 20:1 (Fig. 1G).

### 53 CAPRI cells lysed MHC-restricted cancer cells

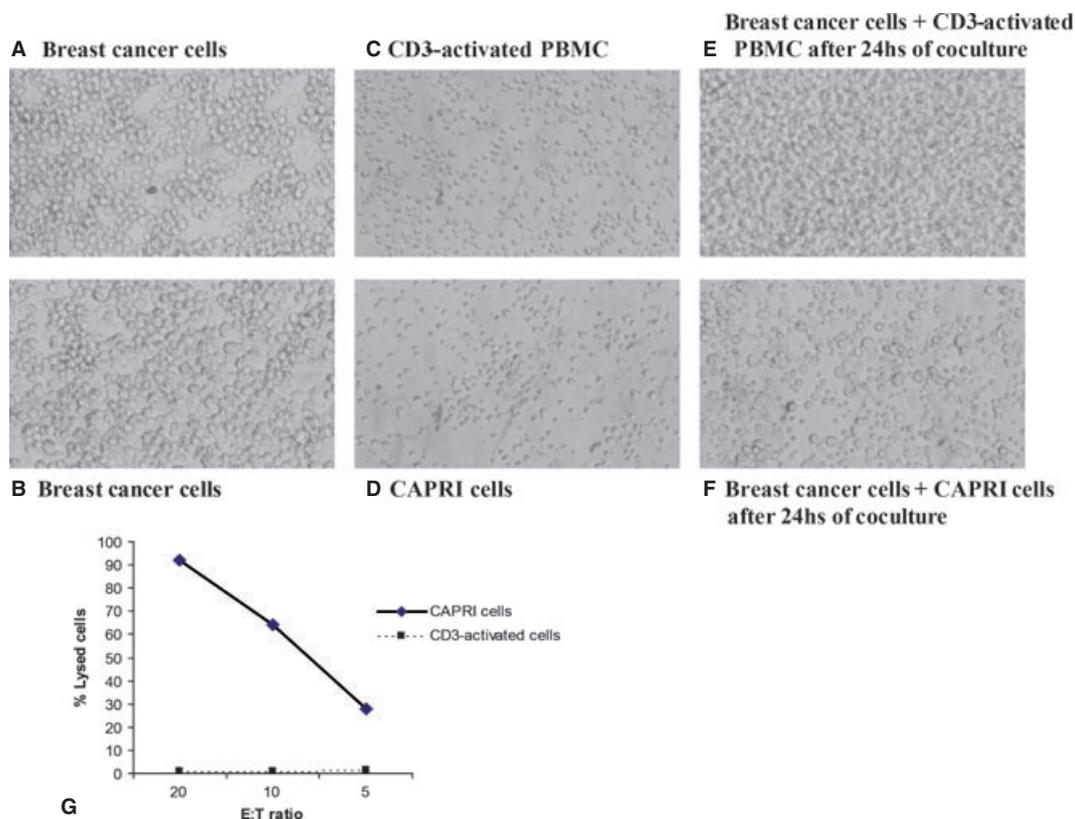
54 The generation of cytotoxic T cells depends on interac-  
55 tions between the  $\alpha\beta$  TCR and the pMHC [30]. MHC  
restriction was analysed using allogeneic cancer cells and  
antibodies blocking the pMHC. CAPRI cells from two  
unrelated breast cancer patients with defined HLA class  
II DQ alleles were tested along with breast cancer cells  
from six unrelated patients (Fig. 2A). After 24 h, CAPRI  
cells lysed the autologous cancer cells robustly and lysed  
the cancer cells with shared HLA-DQ1 alleles approxi-  
mately half as well, whereas a lack of HLA-DQ sharing  
resulted in minimal background lysis (Fig. 2A). This  
suggests that HLA class II surface molecules on APC pre-  
sented tumour-immunogenic peptides, but complete lysis  
may depend on the sharing of both HLA class I and class  
II antigens. This was indirectly supported by the observa-  
tion that cancer cell lysis was blocked with HLA class I  
and class II antibodies. Lysis was strongly reduced with  
the antibody W6/32 binding to all HLA class I molec-  
ules and the antibody L243 binding to HLA class II  
molecules (Fig. 2B, C). Both antibodies, W6/32 and  
L243, block the lysis of cancer cells significantly; (B)  
W6/32:  $P_{\text{slope}} = 2.49 \times 10^{-8}$ ,  $P_{\text{intercept}} = 6.52 \times 10^{-9}$ ,  
L243:  $P_{\text{slope}} = 2.50 \times 10^{-9}$ ,  $P_{\text{intercept}} = 4.70 \times 10^{-9}$ . (C)  
W6/32:  $P_{\text{slope}} = 6.04 \times 10^{-9}$ ,  $P_{\text{intercept}} = 4.58 \times 10^{-9}$ ,  
L243:  $P_{\text{slope}} = 9.19 \times 10^{-10}$ ,  $P_{\text{intercept}} = 2.16 \times 10^{-9}$ .

Isotypic control antibodies do not block the lysis of  
cancer cells by CAPRI cells. Figure 2B, patient 1:  
 $P_{\text{slope}} = 0.504$ ,  $P_{\text{intercept}} = 0.572$ , Fig. 2C, patient 2:  
 $P_{\text{slope}} = 0.881$ ,  $P_{\text{intercept}} = 0.678$ .

The required concurrence of HLA class I and class II  
presentation indicates a comprehensive interdependence  
of helper and cytotoxic T cells for the successful lysis of  
cancer cells. CAPRI cells showed very weak activity  
against the NK target cell K562, which usually does not  
express HLA antigens (data not shown), perhaps because  
K562 lysis is usually mediated by activated NKT cells in  
PBMC cultures [31].

### 56 CAPRI cells enhanced HLA class I and class II surface 57 expression in epithelial and other solid cancer cells

58 How can CAPRI cells lyse cancer cells in an HLA-  
59 restricted manner, despite cancer cells tending to downre-  
60 gulate HLA expression [32, 33]? We compared the HLA  
61 expression of CFSE (5(6)-carboxy fluorescein diacetate  
62 *N*-succinimidyl ester)-labelled cancer cells after coculture



28 **Figure 1** Comparison of cancer cell lysis by cascade-primed (CAPRI) cells and CD3-activated PBMC. Breast cancer cells (A, B), CD3-activated PBMC (C) and CAPRI cells (D) were subsequently cocultured (E, F). The degree of lysis by CD3-activated PBMC (E) or CAPRI cells (F) was compared microscopically (C, D) and by the chromium<sup>51</sup>-release test (G) after 20 h of coculture. The effector (E) to target (T) ratio was 20:1 (A–F) and was titrated to 5:1 in the chromium<sup>51</sup>-release assay (G). The number of cancer cells appeared unchanged with cocultured CD3-activated PBMC after 20 h (E), whereas CAPRI cells clearly reduced the number of cancer cells (F), as demonstrated quantitatively using Cr<sup>51</sup>-labelled cancer cells as targets (G). Our modification of the cell-mediated lympholysis (CML) test [22] kept the spontaneous release of Cr<sup>51</sup> under 10% during the assay periods of 18–24 h.

34 with autologous unstimulated PBMC, only CD3-activated PBMC or CAPRI cells using a low effector to target ratio of 5:1 (Fig. 3). CAPRI cell-stimulated cancer cells showed a 40% increase in mean fluorescence intensity (MFI) in HLA class I expression (MFI versus MFI) and a 60% increase in HLA-DR class II expression (MFI versus MFI) (Fig. 3A). The enhanced MHC class II expression in cancer cells could be pivotal for the destructive power of CAPRI cells, as CD4 interactions augment cytotoxic T cell responses [34, 35].

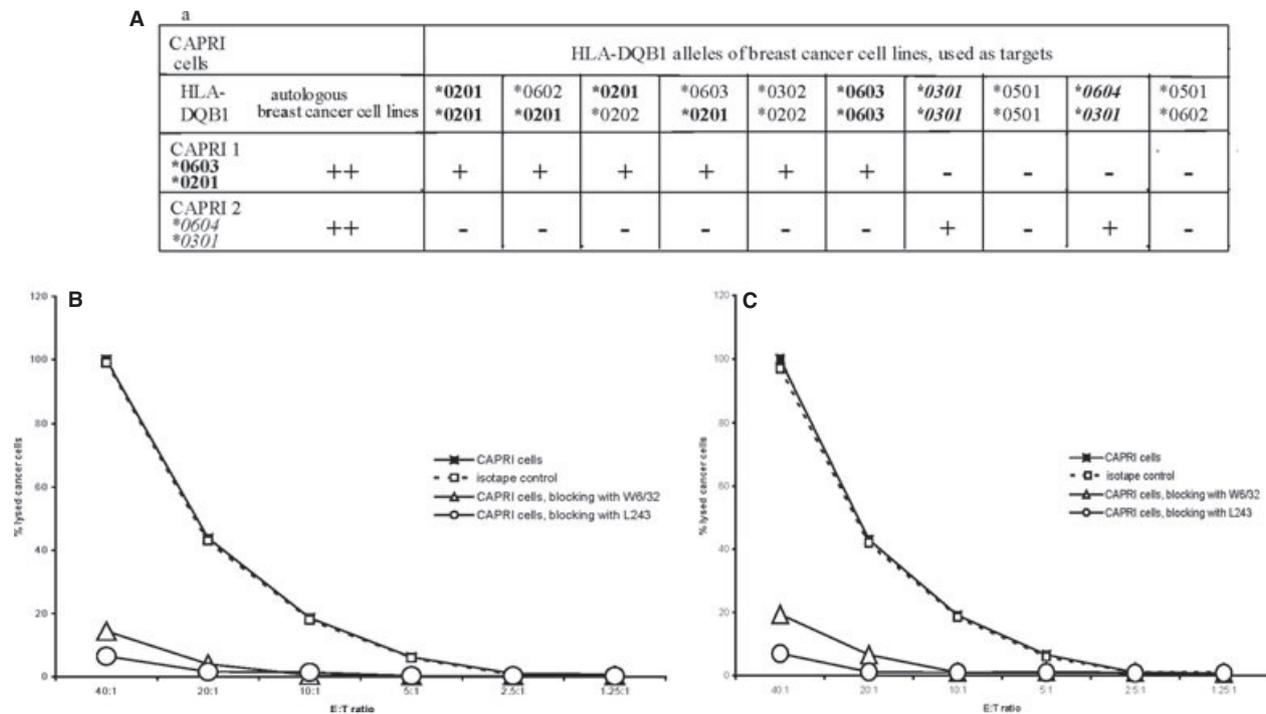
#### 46 Maturation of monocytes to dendritic cells during the CAPRI procedure

48 Stimulated APC express high levels of MHC class I and class II molecules along with B7 and other costimulatory molecules [36]. We analysed phenotypic markers of CFSE-labelled CD14<sup>+</sup> monocytes before activation (day 0) and 1 day (day 1) and 5 days (day 5) after activation (Fig. 4). In CAPRI cells, a considerable number of monocytes lost CD14 expression and matured, as defined by the acquisition of the dendritic cell markers CD1a and CD83 at day 1

and their marked upregulation at day 5 (Fig. 4B). Upregulation of the costimulatory molecules CD80, CD86 and CD40, and HLA-DR class II and HLA class I molecules was also observed (Fig. 4B). In only CD3-activated PBMC, the number of CD14<sup>+</sup> monocytes and cells expressing CD83 and CD1 remained constant. Upregulation of the costimulatory molecules CD80, CD86, CD40 and HLA class I and of HLA-DR was clearly lower than in CAPRI cell cultures (Fig. 4C). Quantitative analysis of leucocyte subpopulations in CD3-activated PBMC and CAPRI cells from five patients with cancer showed significantly more matured dendritic cells in CAPRI cultures than in CD3-activated PBMC (paired *t*-test, *P* = 0.000096) (Table 1) and a higher percentage of monocytes in CD3-activated PBMC compared to CAPRI cells on day 5 (paired *t*-test, *P* = 0.023) (Table 1).

#### 52 Depletion of CD14<sup>+</sup> monocytes, CD83<sup>+</sup> dendritic cells, CD3<sup>+</sup>CD8<sup>+</sup> or CD3<sup>+</sup>CD4<sup>+</sup> T cells and cancer cell lysis

54 Depletion of subpopulations and the resulting effect on lysis were analysed at the following time points: 1) in



**Figure 2** Cascade-primed (CAPRI) cells lyse MHC-restricted cancer cells: (A) microscopical evaluation of lysis after 24 h at a E:T ratio of 20:1 by using autologous cancer cells and cancer cells sharing or not sharing HLA-DQB1 alleles. Autologous cancer cells were lysed most robustly (++) cancer cells sharing HLA-DQB1\*0603 or DQB1\*0201 with CAPRI-CTL1 (upper line, HLA-DQB1\*0201 and DQB1\*0202 express identical surface molecules) or sharing HLA-DQB1\*0604 or DQB1\*0301 with CAPRI-CTL2 were noticeably lysed (+). No significant lysis occurred without HLA-DQ class II matching; the quantity of lysed cancer cells was estimated to be similar to those in the HLA microcytotoxicity test [28]. (B, C) Evaluation by Chromium 51-release assay and by blocking with HLA class I (W6/32) and HLA class II (L243) antibodies in two different patients. Both antibodies, W6/32 and L243, block the lysis of cancer cells significantly; (B) W6/32:  $P_{\text{slope}} = 2.49 \times 10^{-8}$ ,  $P_{\text{intercept}} = 6.52 \times 10^{-9}$ , L243:  $P_{\text{slope}} = 2.50 \times 10^{-9}$ ,  $P_{\text{intercept}} = 4.70 \times 10^{-9}$ . (C) W6/32:  $P_{\text{slope}} = 6.04 \times 10^{-9}$ ,  $P_{\text{intercept}} = 4.58 \times 10^{-9}$ , L243:  $P_{\text{slope}} = 9.19 \times 10^{-10}$ ,  $P_{\text{intercept}} = 2.16 \times 10^{-9}$ .

unstimulated PBMC before CD3 activation; 2) in unstimulated PBMC to be added to CD3-activated PBMC; and 3) from CAPRI cells before coculture with cancer cells (Fig. 5). Depletion of CD3<sup>+</sup>CD8<sup>+</sup> T lymphocytes at each time point prevented CAPRI cells from developing any lytic capacity (Fig. 5D), and depletion of CD3<sup>+</sup>CD4<sup>+</sup> T cells had the same effect at each time point (Fig. 5C). Depletion of CD14<sup>+</sup> monocytes at time point 1) or 2) completely abrogated the lytic activity of CAPRI cells (Fig. 5A), whereas depletion of monocytes at time point 3) did not significantly influence the lysis of cancer cells.

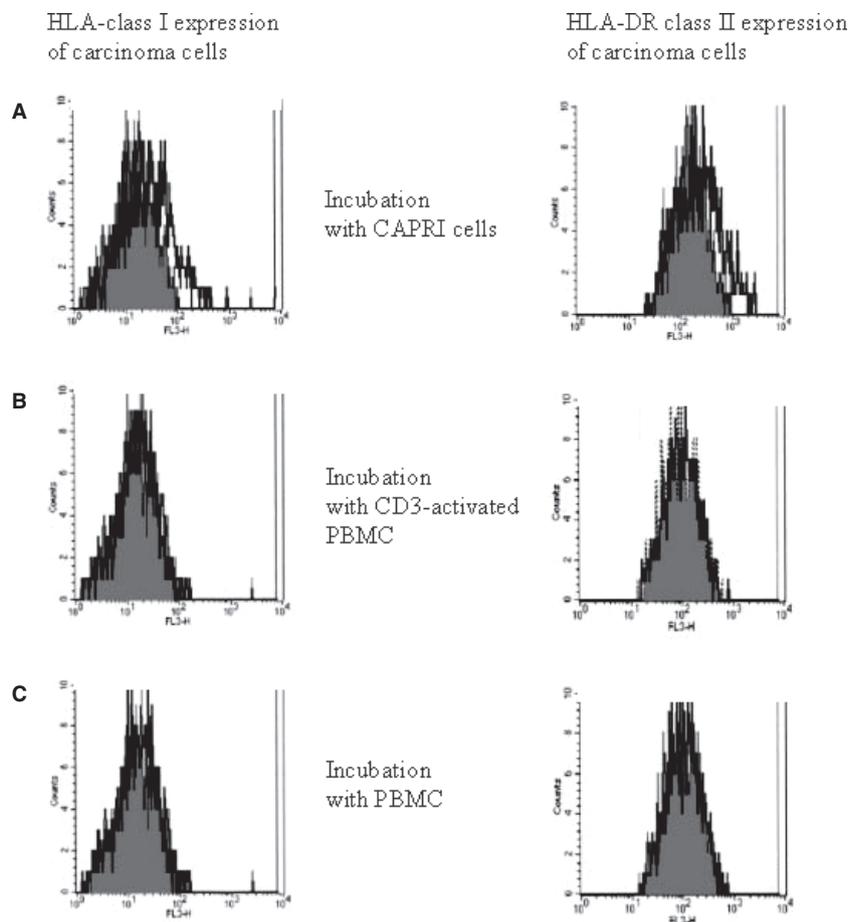
Depletion of CD83<sup>+</sup> dendritic cells reduced the development of CAPRI cell lytic efficiency by 50% (Fig. 5B). This 'medium' contribution to the lytic capacity of CAPRI cells may indicate a continuous supply of contact information and/or of cytokines to T effector cells during cancer cell destruction. The failure of immune responses as a consequence of rudimentary immunogenic information from cancer cells has been previously demonstrated [32, 33]. Depletion of B lymphocytes did not significantly influence lysis (data not shown).

#### Presence of CD4<sup>+</sup> T lymphocytes versus replacement by CAPRI culture supernatants

CAPRI culture supernatants should clarify whether CD4<sup>+</sup> T lymphocytes only provide cytokine help to cytotoxic CD8<sup>+</sup> T cells. Supernatants were added at depletion time point 1) or 2). In the absence of CD4<sup>+</sup> T cells, cancer cells were only minimally destroyed (not shown).

#### Less increase in the regulatory T cell population (CD4<sup>+</sup>CD25<sup>high</sup>Foxp3<sup>+</sup>) in CAPRI cell cultures

Several reports have described the suppression of cytolytic responses against human cancer cells by CD4<sup>+</sup>CD25<sup>+</sup> regulatory T cells [37–45]. Modulation and suppression have appeared to be restricted to CD4<sup>+</sup>CD25<sup>high</sup>Foxp3<sup>+</sup> T lymphocytes, either antigen-specific or non-antigen-specific [37–45]. The percentage of CD4<sup>+</sup>CD25<sup>high</sup>Foxp3<sup>+</sup> T lymphocytes is strongly increased in CD3-activated cells compared to unstimulated PBMC. In CAPRI cultures, this increase is only moderate (Fig. 6).



**Figure 3** Increased HLA class I and HLA class II expression in breast cancer cells after cocultivation with cascade-primed (CAPRI) cells. To save a sufficient number of unlysed cancer cells for observation, a low effector to target (E:T) ratio of 5:1 was used. Grey area with black outline represents basic level of expression, and white area with black outline represents expression after adding of CAPRI cells. HLA expression of CFSE-labelled colon cancer cells F2 was determined after 5 days of coculture with (A) CAPRI cells, (B) CD3-activated PBMC or (C) unstimulated PBMC. CAPRI cells increased cancer cells' mean intensity of fluorescence (MIF) in the expression of HLA class I by 40% and HLA-DR class II antigens by 60% (A). No significant change in cancer cell HLA expression was observed after incubation with CD3-activated (B) or unstimulated PBMC (C).

### Significant lysis of cancer cells by CAPRI cells in nude mice

Breast cancer cells were implanted in twelve female mice. After tumour implantation, six mice were injected with autologous PBMC (controls), and the other six were injected with autologous CAPRI cells (verum). In this breast cancer model, the average tumour size was  $29.64 \pm 6.95$  mm in the control group, whereas the tumour size was  $5.08 \pm 1.66$  mm in the mice receiving CAPRI cell therapy. Furthermore, the verum group showed an average survival time of  $43 \pm 1.17$  days, and the control group survived an average of  $29.67 \pm 1.92$  days ( $P = 5.06 \times 10^{-4}$ , Fig. 7A, C, D, Table 2).

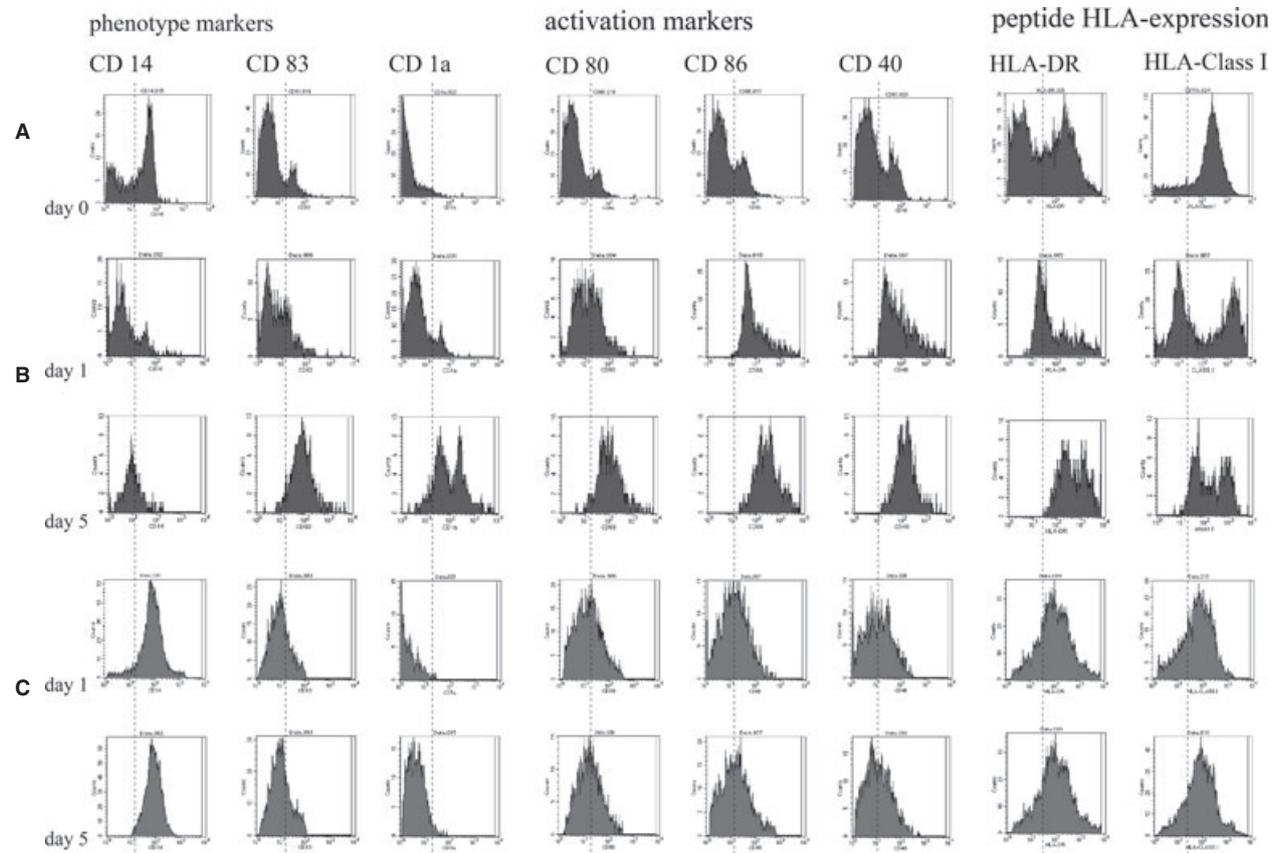
### CAPRI cell treatment for patients with breast cancer and survival time

Breast cancer patients (T1-4N0-2M1, G2-3) treated with CAPRI cells in an adjuvant treatment attempt were compared with patients of the Munich Tumor Center (T1-4N0-2M1, G2-3) using Kaplan–Meyer statistics. All breast cancer patients with distant metastasis who received at least  $500 \times 10^6$  CAPRI cells in total were included in the comparative analysis. It was recom-

mended that patients should receive  $60\text{--}80 \times 10^6$  CAPRI cells thrice a week for at least 1 year. Despite variations in the frequency of injection and cell number, which are unavoidable in treatment attempts, CAPRI cell-treated patients showed a significant increase in survival (Fig. 7B). Patients reported no adverse reactions from CAPRI cells; rather, adverse reactions from chemotherapy were neutralized by the CAPRI cell therapy. Most patients with adjuvant CAPRI cell treatment were able to resume professional activities 1 day after chemotherapy.

### Discussion

The dramatic power of autologous MHC-restricted immune responses, first recognized by Zinkernagel and Doherty [46], contrasts with the immune surveillance failure of MHC-restricted tumour-infiltrating lymphocytes (TIL). However, TIL can be successfully revived *in vitro* [47]. ACT using autologous TIL combined with non-myeloablative chemotherapy and irradiation achieved a complete response in seven of 25 patients (28%) [47], a fundamental progress for ACT.



**Figure 4** Expression of differentiation and activation markers by CFSE-labelled CD14<sup>+</sup> monocytes during cascade priming and CD3 activation of PBMC. PBMC with reimported CFSE-labelled CD14<sup>+</sup> monocytes were split into three groups: PBMC were either left unstimulated (A), submitted to cascade priming (B) or CD3-activated (C). The developmental and functional stages of labelled monocytes were characterized with specific antibodies recognizing CD14 (monocytes), CD83 and CD1a (dendritic cells); CD80, CD86, CD40 (activation markers) and HLA-DR class II and HLA class I molecules at days 0 (A), 1 (B, C) and 5 (B, C). Whereas the number of CD14<sup>+</sup> monocytes declined in cascade-primed (CAPRI) cell cultures at day 1 and were even more reduced at day 5 (B), the number CD14<sup>+</sup> monocytes in unstimulated (A) and in CD3-activated PBMC cultures (C) remained essentially unchanged at days 1 and 5. In contrast, the number of CD83<sup>+</sup> and CD1a<sup>+</sup> cells increased in CAPRI cell cultures (B) but not in CD3-activated PBMC or unstimulated PBMC (A, C). Increased expression of activation markers CD80, CD86, CD40 and HLA-DR class II and HLA class I molecules was clearly more pronounced in CAPRI cell cultures (B) than in CD3-activated PBMC and unstimulated PBMC (A, C).

Unprofessional presentation of tumour-immunogenic peptides and costimulatory molecules by cancer cells often induces the inactivation of naïve T cells. To avoid this inactivation, we developed the following priming procedure: we stimulated APC by activating T cells in PBMC bulk cultures with the OKT3 anti-human CD3 antibody to enhance the expression of MHC and costimulatory molecules (Fig. 4). To ensure the transfer of MHC information, resting/naïve T cells expressing high levels of the  $\alpha\beta$  TCR were added because CD3 activation downmodulates the  $\alpha\beta$  TCR [19, 20]. The highly efficient lysis of autologous cancer cells by these CAPRI immune cells (Fig. 1G) confirmed our notion that stimulated APC of patients with cancer harbour/present sufficient tumour-immunogenic information to generate T effector cells. The nearly complete blocking of lysis with

antibodies against HLA class I and class II molecules demonstrated the MHC restriction of the lysis (Fig. 2B, C). Furthermore, lysis of allogeneic cancer cells was more efficient when CAPRI cells and cancer cells shared HLA class II antigens (Fig. 2A).

To assess the expression levels of costimulatory and MHC molecules of activated APC, we labelled CD14<sup>+</sup> monocytes with CFSE (Fig. 4). In CAPRI cultures, but not in CD3-activated PBMC, labelled monocytes showed an increased expression of CD40, CD80, CD86 and HLA molecules (Fig. 4). Particularly interesting was the numerical decrease in CD14<sup>+</sup> monocytes and the numerical increase in CFSE-labelled cells with the CD1a<sup>+</sup> CD83<sup>+</sup> mature dendritic cell phenotype, which was not seen in CD3-activated PBMC ( $P = 0.000096$ , Fig. 4A–C, Table 1).

**Table 1** Lymphocyte subpopulations of CD3-stimulated and CAPRI cells, averaged from five patients with cancer and presented as per cents of total cells and standard deviations ( $\pm$ ).

	CD3	CAPRI
CD14 <sup>+</sup>	2.63 $\pm$ 1.30	0.44 $\pm$ 0.08 <sup>a</sup>
CD19 <sup>+</sup>	3.85 $\pm$ 1.78	4.08 $\pm$ 2.05
Lin <sup>-</sup> CD83 <sup>+</sup> HLA-DR <sup>+</sup>	0.52 $\pm$ 0.29	2.14 $\pm$ 0.41 <sup>b</sup>
CD3 <sup>+</sup>	88.27 $\pm$ 4.17	87.87 $\pm$ 3.81
CD3 <sup>+</sup> CD4 <sup>+</sup> CD8 <sup>-</sup>	42.75 $\pm$ 19.99	51.35 $\pm$ 14.70
CD3 <sup>+</sup> CD4 <sup>-</sup> CD8 <sup>+</sup>	42.43 $\pm$ 18.08	35.55 $\pm$ 10.71
CD3 <sup>+</sup> CD4 <sup>-</sup> CD8 <sup>-</sup>	10.93 $\pm$ 5.12	8.50 $\pm$ 4.48
CD3 <sup>+</sup> CD4 <sup>+</sup> CD8 <sup>+</sup>	3.62 $\pm$ 2.23	4.66 $\pm$ 2.97
CD3 <sup>+</sup> CD14 <sup>-</sup> CD56 <sup>+</sup>	25.60 $\pm$ 3.49	28.17 $\pm$ 6.10
CD3 <sup>-</sup> CD56 <sup>+</sup> or CD16 <sup>+</sup> )	4.73 $\pm$ 2.01	5.15 $\pm$ 2.60
CD3 <sup>-</sup> CD16 <sup>+</sup> CD56 <sup>-</sup>	0.18 $\pm$ 0.25	1.04 $\pm$ 1.99
CD3 <sup>-</sup> CD16 <sup>-</sup> CD56 <sup>+</sup>	3.64 $\pm$ 2.35	2.74 $\pm$ 0.92
CD3 <sup>-</sup> CD16 <sup>+</sup> CD56 <sup>+</sup>	0.90 $\pm$ 0.33	1.36 $\pm$ 0.29

CD3-stimulated cells contained a higher percentage of monocytes (paired *t*-test, *P* = 0.023) but a lower percentage of matured dendritic cells (paired *t*-test, *P* = 0.000096) than cascade-primed (CAPRI) cells. No other differences were observed between the cell subpopulations of CD3-stimulated and CAPRI cells.

To determine the contribution of CAPRI cell subpopulations during priming and lysis, we depleted subpopulations from PBMC before CD3 activation, from unstimulated PBMC before their addition to previously activated PBMC or from CAPRI cells before cancer cell lysis (Fig. 5). Depleting either CD8<sup>+</sup> T cells or CD4<sup>+</sup> T cells at any time point prevented cancer lysis (Fig. 5). Supernatants from undepleted CAPRI cell cultures did not rescue the effect of CD4<sup>+</sup> T cell depletion, indicating a significant cytotoxic activity of CD4<sup>+</sup> T cells (not shown). The 'unrealized potential' of CD4<sup>+</sup> T cells for cancer ACT has been proposed and evaluated [48, 49].

Depletion of APC populations revealed that CD14<sup>+</sup> monocytes but not dendritic cells were absolutely required for priming. Monocytes could not be removed from PBMC cultures before CD3 activation or from unstimulated PBMC before their coculture with CD3-activated PBMC. One might speculate that capture of tumour material may silence monocytes *in vivo* and prevent their differentiation to dendritic cells. Until now, failing immune responses have been explained mainly by the inactivation of T cells at the tumour site rather than by mute monocytes. We do not know whether activated monocytes, activated monocytes in transition of differentiation or rather *de novo* matured dendritic cells are the crucial cells required to prime naïve T cells. Differentiation of monocytes here may have been induced by activated monocytes priming naïve T cells, and primed T cells could drive monocyte differentiation to dendritic cells. The discovery of monocytes as the primary cells responsible for passing tumour-immunogenic information to T cells could perhaps be used to differentiate mono-

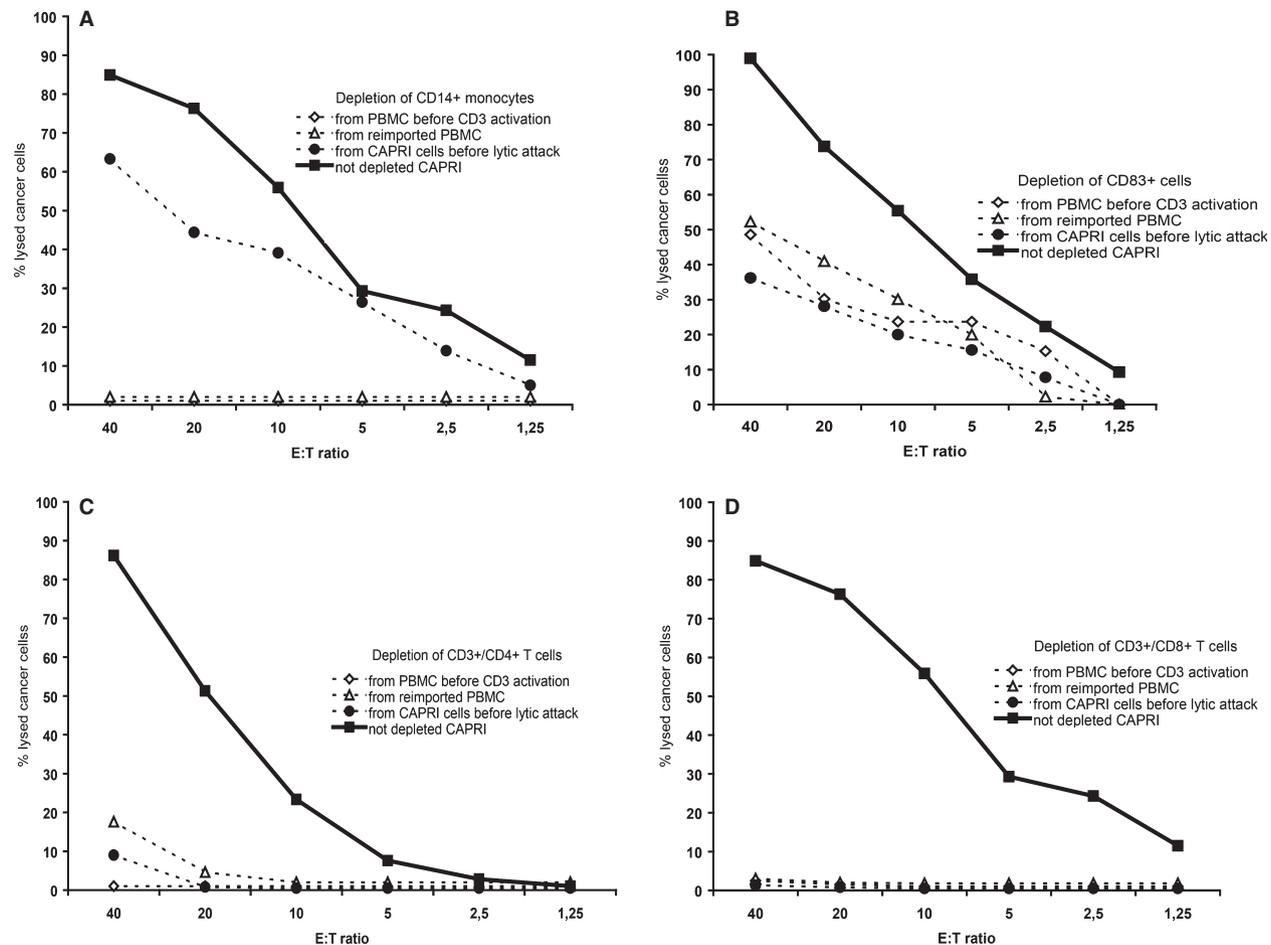
cytes with cytokines *in vivo* to prime naïve T cells into tumour-specific effector T cells.

Depletion of dendritic cells from CD3-activated PBMC or from unstimulated PBMC reduced cancer cell destruction by approximately 50%. It has been reported that signals from activated CD4<sup>+</sup> T cells enable dendritic cells to instruct bystander dendritic cells to prime naïve CD4<sup>+</sup> T cells [50, 51]. However, CD3-activated T cells could not initiate this dendritic circuit without monocytes; furthermore, monocytes were required in unstimulated PBMC cultures that were added to CD3-activated PBMC.

Depletion of monocytes from CAPRI cells immediately before their coculture with cancer cells did not significantly reduce lysis. However, depletion of dendritic cells decreased cancer cell destruction by 50% (Fig. 5A, B). This suggests that dendritic cells may provide a continuous flow of cytokines and/or of tumour-immunogenic information by building an information bridge between cancer cells and effector T cells to maintain cancer cell destruction by T effector cells. Supplementary professional antigen presentation by activated dendritic cells may prevent rudimentary TCR signalling by cancer cells leading to multiple immunosuppressive effects, such as default secretion of IL-10 by Th1 cells [52].

Taken together, optimal priming for cancer destruction required cell-mediated bidirectional cooperation among a cellular quartet consisting of CD14<sup>+</sup> monocytes, CD14<sup>-</sup>CD1a<sup>+</sup>CD83<sup>+</sup> dendritic cells, CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells, whereas a cellular trio comprising dendritic cells, helper T cells and cytotoxic T cells achieved optimal cancer cell lysis without monocytes.

Carcinomas often escape from recognition by downregulating their own HLA expression [32, 33]. Increased HLA expression of cancer cells correlates with increased survival of patients [53–56]. Could CAPRI cells, which lyse HLA-restricted tumour cells, influence the HLA expression of cancer cells? Examination of CFSE-stained carcinoma cells showed that cocultured CAPRI cells did indeed increase the expression of HLA class I and class II molecules in autologous cancer cells (Fig. 3), and they most likely do so in many cancer types lysed by CAPRI cells (listed in Table 3, lysis not shown). Of particular note was the successful CAPRI cell-mediated lysis of carcinoma cells of Bowen's disease. These intraepidermally growing carcinoma *in situ* cells are commonly recalcitrant to therapy because they are enveloped by fibroblasts. Less than 1% of Bowen's cancer cells bound keratinocyte antibodies in cytopins (not shown). This cancer is an excellent example of the proposed inhibitory role of tumour stroma, as this stroma can prevent direct lysis by T cells [57]. We interpreted the lysis of Bowen's cancer cells by CAPRI cells as evidence for cross-presentation and cross-priming between members of the CAPRI cell quartet,



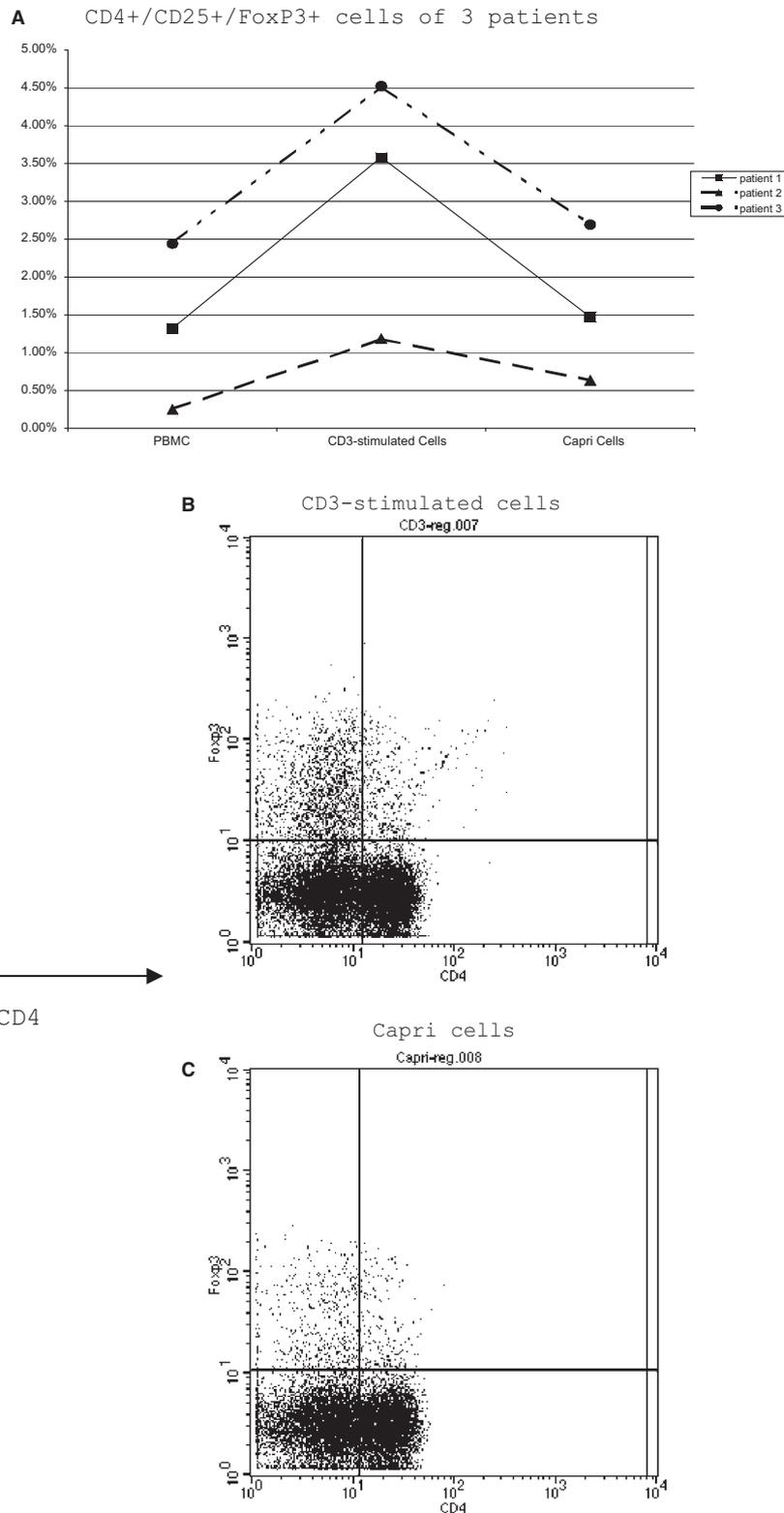
**Figure 5** Interdependence of monocytes, dendritic cells, T helper and T cytotoxic cells for priming and cancer cell lysis. The contribution of immune cell populations to the cytotoxic capacity of cascade-primed (CAPRI) cells against breast cancer cells was determined at different phases of activation and cancer cell destruction (A) by depleting PBMC of CD14<sup>+</sup> monocytes, (B) CD83<sup>+</sup> dendritic cells, (C) CD3<sup>+</sup>CD4<sup>+</sup> T helper cells or (D) CD3<sup>+</sup>CD8<sup>+</sup> T cytotoxic cells. Depletion occurred at the following points in time: from PBMC before CD3 activation (—◇—), from unstimulated PBMC to be added to CD3-activated PBMC for T cell priming (—△—) and from CAPRI cells before incubation with cancer cells (—●—). Non-depleted CAPRI cells (—■—) ensured the validity of the tests (A–D). (A) Presence of CD14<sup>+</sup> monocytes was absolutely required for the development of cytotoxicity during the priming procedure, during CD3 activation of PBMC and during priming of PBMC with CD3-activated PBMC ( $P_{\text{slope}} = 0.003$  and  $P_{\text{intercept}} = 0.001$  for both PBMC populations) and had a minimal, non-significant impact on the lytic power of CAPRI cells ( $P_{\text{slope}} = 0.37$ ,  $P_{\text{intercept}} = 0.057$ ). (B) Depletion of CD83<sup>+</sup> dendritic cells reduced the development of cytotoxicity during CD3 activation of PBMC ( $P_{\text{slope}} = 0.00072$ ,  $P_{\text{intercept}} = 0.008$ ), during priming of PBMC with CD3-activated PBMC ( $P_{\text{slope}} = 0.004$ ,  $P_{\text{intercept}} = 0.007$ ), and also significantly reduced the lytic power of CAPRI cells ( $P_{\text{slope}} = 0.01$ ,  $P_{\text{intercept}} = 0.004$ ). There was no significant difference among the dendritic cell depletions at the three time points. (C) CD3<sup>+</sup>CD4<sup>+</sup> T helper cells were absolutely required during the CD3 activation of PBMC cultures ( $P_{\text{slope}} = 1.25 \times 10^{-7}$ ,  $P_{\text{intercept}} = 0.022$ ), during priming of PBMC with CD3-activated PBMC ( $P_{\text{slope}} = 1.59 \times 10^{-6}$ ,  $P_{\text{intercept}} = 0.015$ ) and for cytotoxicity of CAPRI cells ( $P_{\text{slope}} = 1.59 \times 10^{-6}$ ,  $P_{\text{intercept}} = 0.015$ ). (D) CD8<sup>+</sup> T cytotoxic cells had a key role in all phases of the cascade-priming procedure and in cancer cell lysis ( $P_{\text{slope}} = 0.003$  and  $P_{\text{intercept}} = 0.001$  at all three time points).

and we also might construe this as evidence for the ability of CAPRI cells to enhance fibroblast processing and presentation of tumour products, which is possible in principle [58].

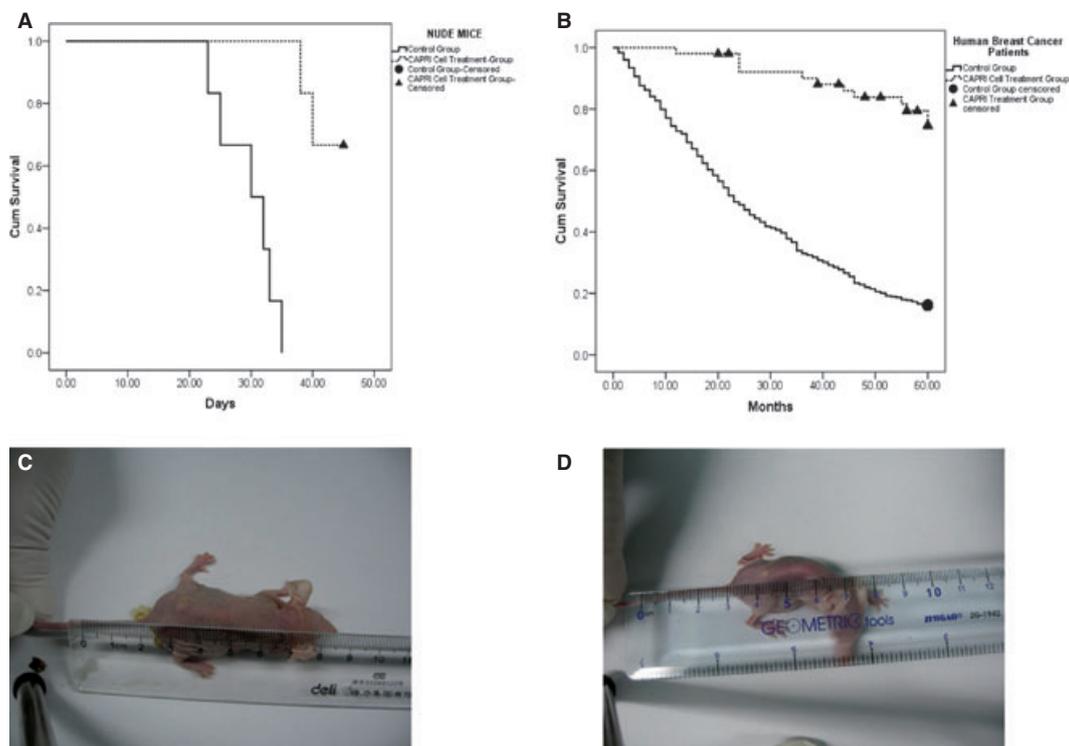
The observed lower percentage of CD4<sup>+</sup>CD25<sup>high</sup> FoxP3<sup>+</sup> regulatory T cells in CAPRI cultures compared to CD3-activated PBMC (Fig. 6) could augment the cytolytic activity of CAPRI cells. Whereas CD3 stimulation of T lymphocytes favours pathways leading to IL-10-producing cells expressing CD25<sup>high</sup>FoxP3<sup>+</sup>CD4<sup>+</sup>

[43], the activation pathway via the  $\alpha\beta$  TCR [44] may favour the amplification of CD4<sup>+</sup> T cells not expressing FoxP3. Furthermore, activation of dendritic cells during the CAPRI procedure may enhance their ability to abrogate the regulatory activities of CD25<sup>high</sup>FoxP3<sup>+</sup>CD4<sup>+</sup> cells [45].

Our results demonstrate the importance of monocytes and CD4<sup>+</sup> T cells for immune responses against cancer. In the CAPRI procedure, tumour-immunogenic peptides need not be identified and can be presented by (at least)



**Figure 6** (A) In three patients, the percentage of CD4<sup>+</sup>/CD25<sup>+</sup>/FoxP3<sup>+</sup> regulatory T cells was compared between PBMC, CD3-activated cells and cascade-primed (CAPRI) cells. In all three patients, the percentage of regulatory T cells in CAPRI cells is slightly higher than in unstimulated PBMC, but much lower than in CD3-activated cells. CD3-activated cells (B) and CAPRI cells (C) of patient 1 were analysed for FoxP3 expression in CD4<sup>+</sup> and CD4<sup>-</sup> cells. A lower percentage of regulatory T helper cells (CD4<sup>+</sup>/FoxP3<sup>+</sup>) was found in CAPRI cells (1.47%) than in CD3-activated cells (3.58%). FoxP3 expression was also lower in CD4<sup>-</sup> cells (mostly CD8<sup>+</sup>), 3.22% in CAPRI cells compared to 11.05% in CD3-activated cells. The CD4<sup>-</sup>/CD8<sup>+</sup>/FoxP3<sup>+</sup> T cells were supposed to overlap with CD8<sup>+</sup>/CD25<sup>+</sup>/CTLA4<sup>+</sup>/FoxP3<sup>+</sup> regulatory CD8<sup>+</sup> T cells.



**Figure 7** (A) In the breast cancer model, the average survival time of the cascade-primed (CAPRI) group was  $43.00 \pm 1.17$  days, while the average survival time of the control group was  $29.67 \pm 1.92$  days. Kaplan–Meier analysis is shown. Log rank (matel-Cox)  $\chi^2 = 12.09$ ,  $P = 5.06 \times 10^{-4}$ . (B) Each breast cancer patient (T1-4N0-2, G2-3) with diagnosed metastasis (M1,  $N = 42$ ) who had received at least  $500 \times 10^6$  CAPRI cells was included in the analysis and compared to breast cancer patients with the same tumour staging (T1-4N0-2M1, G2-3) of the Munich Tumor Center ( $N = 428$ ). The average survival time of the 42 patients with breast cancer treated by CAPRI therapy was  $55.19 \pm 1.68$  months. The average survival time of the 428 breast cancer patients with metastasis treated without immunotherapy was  $28.60 \pm 0.95$  months. Kaplan–Meier analysis is shown. Log rank (matel-Cox)  $\chi^2 = 59.30$ ,  $P = 1.36 \times 10^{-14}$ . (C, D) Mice were injected subcutaneously with  $1 \times 10^5$  breast cancer cells from patient ZB in 0.1 ml PBS. Mice of the CAPRI therapy group ( $n = 6$ ) were injected with  $1 \times 10^6$  CAPRI cells from the same tumour patient every second day until day 15. Mice of the control group ( $n = 6$ ) were injected with  $1 \times 10^6$  PBMC from the same patient with tumour using the same injection protocol until their death or their sacrifice. PBMC and CAPRI cells were introduced around the injected tumour locations. Mice were observed for 45 days after injection of cancer cells. Tumour size was measured for the first time after 21 days. The tumour size was  $29.64 \pm 6.95$  mm in the control group (C), whereas the tumour size was  $5.08 \pm 1.66$  mm in the mice receiving CAPRI cell therapy (D).

six HLA class I and six HLA class II molecules. Tumour-immunogenic peptide design should ideally fit HLA class I and HLA class II molecules. Alternatively, tumour-immunogenic peptides could be isolated from activated monocytes of patients with cancer showing a benign course [59].

The first controlled study with CD3-activated PBMC showed a small but significant increase in the survival rate of patients with hepatocellular carcinoma [60]. The results were interpreted as evidence for the amplification of cancer-specific T memory cells and not effector maturation [61]. This interpretation is compatible with our *in vitro* results showing marginal lysis of cancer cells by CD3-activated PBMC.

Preclinical evidence of the CAPRI cell concept was obtained by establishing breast cancer tumours in twelve female nude mice. In this breast cancer model, the size of the tumour increased in the control group but was signif-

icantly decreased by CAPRI cells ( $P = 7.56 \times 10^{-6}$ , Table 2). A significant increase in survival time was also observed for CAPRI cell-treated mice ( $P = 5.06 \times 10^{-4}$ , Fig. 6A).

In human patients, circumstantial clinical evidence of the CAPRI cell concept was provided in an adjuvant treatment attempt for breast cancer patients with metastasis (T1-4N0-2M1, G2-3,  $N = 42$ ) by comparing their survival times with those of breast cancer patients (T1-4N0-2M1, G2-3,  $N = 428$ ) from the Munich Tumor Center (Fig. 6B). The survival curves of female patients with breast cancer and metastases collected in the Munich Tumor Center are nearly identical with those published in text books like Harrison's 'Principles of Internal Medicine' (7th edition) [62] or Conn's 'Current Therapy' (2010) [63]. Both patient groups received standard combinations of chemotherapy and radiation. The average survival time of patients with adjuvant CAPRI

**Table 2** CAPRI cells significantly reduced tumour size of transplanted<sup>a</sup> breast carcinomas.

	ZB <sup>b</sup>	
	Control group	Test group
	ZB tumour cells + PBMC	ZB tumour cells + CAPRI
	Max. $\phi$ $\times$ Min. $\phi$	Max. $\phi$ $\times$ Min. $\phi$
Mouse 1	6.21 $\times$ 5.82	2.22 $\times$ 2.14
Mouse 2	5.51 $\times$ 5.35	1.98 $\times$ 1.45
Mouse 3	5.64 $\times$ 5.23	2.85 $\times$ 2.45
Mouse 4	5.54 $\times$ 5.39	2.73 $\times$ 2.38
Mouse 5	4.21 $\times$ 4.11	2.54 $\times$ 2.33
Mouse 6	6.54 $\times$ 5.58	1.99 $\times$ 1.75
Average tumour size (mm <sup>2</sup> )	29.64 $\pm$ 6.95	5.08 $\pm$ 1.66
<i>t</i> -test	<i>t</i> = 8.41, <i>P</i> = 7.56 $\times$ 10 <sup>-6</sup>	

<sup>a</sup>Tumour cells were transplanted into nude mice, and established tumours were treated with autologous cascade-primed (CAPRI) cells.

<sup>b</sup>ZB = breast carcinoma.

cell treatment was 55.19  $\pm$  1.68 months; patients receiving only standard therapy survived an average of 28.60  $\pm$  0.95 months (Fig. 6B, *P* = 1.36  $\times$  10<sup>-14</sup>). The

standard therapies could have hindered or supported the CAPRI cell therapy. Preventing the growth of huge tumour masses by irradiation or chemotherapy would support CAPRI cell therapy. However, to prevent damage to bone marrow or PBMC, they should be isolated before irradiation or chemotherapy.

In summary, we have shown that a treasure of cancer-immunogenic information is stored only in monocytes and is expressed upon stimulation by CD3-activated T cells. Activated monocytes can prime naïve/resting T cells to become powerful cancer-specific CTL against autologous cancers. We raised CAPRI cells against many different types of cancer (Table 3) and did not find a non-immunogenic cancer. Treatment attempts with CAPRI cells as adjuvant treatment for patients with breast cancer showed that almost double the number of patients survived 5 years, but this needs to be confirmed in standardized clinical studies.

With CAPRI cells, many different cancers can be treated within a week and without negative side effects. Future studies should consider analysing the cytokines secreted by the CAPRI cell quartet at different time periods. Treatment with such cytokines may facilitate the treatment for all patients with cancer in a cost-effective and time-sensitive manner.

**Table 3** List of successful CAPRI cell lysis of autologous cancer cell types (experimental results not shown).

Name	Sex, age (years)	Primary tumour site	Histopathology	G	TNM stage
MaCa1	F, 55	Breast	Infiltrating ductal carcinoma	2	T2N1biiiMx
MaCa2	F, 64	Breast	Infiltrating ductal carcinoma comedocarcinoma	3	T1cNxMx
MaCa3	F, 61	Breast	Multicentric infiltrating ductal carcinoma	2	T1bN1b, axillary M0
MaCa4	F, 55	Breast	Invasive lobular carcinoma	2	T2N0
MaCa5	F, 43	Breast	Infiltrating ductal carcinoma	2	T2 pN1biii Mx
MaCa6	F, 58	Breast	Infiltrating ductal carcinoma	?	T3N1M1
MaCa7	F, 55	Breast	Invasive lobular carcinoma	2	T1cpN2Mx
MaCa8	F, 60	Breast	Invasive lobular carcinoma	2	T4 pN2 Mx
MaCa9	F, 43	Breast	Infiltrating ductal carcinoma, partially intraductal	2	T2pNxMx
MaCa10	F, 49	Breast	DCIS	1-2	T1bmN0Mx
CoCa1	M, 32	Sigmoid	Adenocarcinoma	2	T4N2M1
Mel1	F, 67	Eye,	Melanoma	–	T1NxM0
LuCa1	M, 79	Lung,	Squamous cell carcinoma	3	T4NxM1
Oca1	F, 40	Ovary,	Endometrioid carcinoma	2	T3bpN0cM0
Oca2	F, 59	Ovary,	Cystadenocarcinoma	2	T3pN1Mx
Oca3	F, 39	Ovary	Adenocarcinoma	3	T3cpNxMx
Oca4	F, 45	Ovary	Adenocarcinoma	–	T3N1Mx, FIGO 3c R1
Mel2	F, 68	Skin	Melanoma	–	T3bNxM1, Clark's level IV
Bas1	M, 61	Skin	Nodulocystic basal cell carcinoma	–	
Bow1	F, 24	Skin, vulva	Bowman's disease,		CINIII
GaCa1	F, 67	Stomach	Mucosa carcinoma	3	T1pNx, R0
GaCa2	F, 55	Antrum	Adenocarcinoma		T3pN3Mx
GaCa3	M, 63	Stomach	Adenocarcinoma		T3N1M1 R2
AdC1 <sup>a</sup>	F, 31	Adrenal cortex	Adenocarcinoma		T2NxM1
SARC1 <sup>b</sup>	F, 42	Muscle	Synovial spindle cell sarcoma		T2b

<sup>a</sup>The described cascade-primed (CAPRI) method needed to be supplemented with IFN $\gamma$  (125 U/ml culture medium) during the priming phase for successful lysis of the adenocarcinoma of the adrenal cortex.

<sup>b</sup>With IL-18 for successful lysis of the sarcoma cells (5 ng/ml culture medium).

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## Authors' contributions

Barbara Laubacher and Rudolf Wank pioneered the CAPRI cell procedure over several years. Songhai Gu designed and performed the elegant FACS experiments. All authors participated in writing the manuscript.

## Competing interest statement

Barbara Laubacher and Songhai Gu have no conflicting interests. Rudolf Wank holds European and International patents for the CAPRI procedure.

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